

JCS23 U.S. PTO

Please type a plus sign (+) inside this box PTO/SB/05 (03-01)  
Approved for use through 10/31/2002. OMB 0651-0032U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. A01199A

First Inventor Joseph David Tanzer

Title Method of Improving Viscosity Stability Upon

Title Addition of a Colorant Component

Express Mail Label No. 10/02/01 PRO  
12/26/01

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)

2.  Applicant claims small entity status.  
See 37 CFR 1.27.

3.  Specification [Total Pages 19]  
(preferred arrangement set forth below)

- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4.  Drawing(s) (35 U.S.C.113) [Total Sheets  ]

5. Oath or Declaration [ Total Pages  ]  
 a.  Newly executed (original or copy)  
 b.  Copy from a prior application (37 CFR 1.63 (d))  
 (for continuation/divisional with Box 18 completed)
   
 i.  **DELETION OF INVENTOR(S)**  
 Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6.  Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 

- a.  Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
  - i.  CD-ROM or CD-R (2 copies); or
  - ii.  paper
- c.  Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9.  Assignment Papers (cover sheet & document(s))

10.  37 CFR 3.73(b) Statement  Power of Attorney (when there is an assignee)

11.  English Translation Document (if applicable)

12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations

13.  Preliminary Amendment

14.  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)

15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)

16.  Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. Request for Late Filing

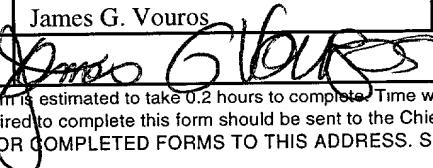
17.  Other:  of Declaration

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation  Divisional  Continuation-in-part (CIP)of prior application No. Group Art Unit, Prior application information: Examiner, For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label			<input type="checkbox"/> or <input type="checkbox"/> Correspondence address below
Name	James G. Vouros 21898		
	ROHM AND HAAS COMPANY		
Address	100 Independence Mall West		
City	Philadelphia	State	PA
Country	USA	Telephone	215-592-2564
Fax	215-592-2682		

Name (Print/Type)	James G. Vouros	Registration No. (Attorney/Agent)	34,453
Signature			Date 12/21/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Patent

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

DN A01199A

GDG:am

In re application of:  
Joseph David Tanzer et al

Serial No.: Not Yet Assigned : Group Art Unit: Not Yet Assigned

Filed: Herewith : Examiner: Not Yet Assigned

For: Method of Improving Viscosity Stability Upon  
Addition of a Colorant Component

Honorable Commission of Patents and Trademarks  
Washington, DC 20231

**REQUEST FOR LATE FILING OF DECLARATION**

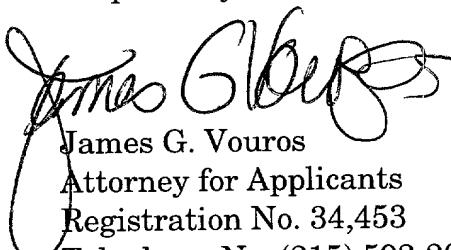
The undersigned attorney for applicants requests that a filing date be granted for the accompanying above-identified application which is not accompanied by an oath or declaration by the inventors, and that said oath or declaration be accepted upon delayed filing, according to 37 CFR 1.53(d).

12/27/2001 MDAMTE1 00000038 10025706

03 FC:105

130.00 CH

Respectfully submitted,

  
James G. Vouros  
Attorney for Applicants  
Registration No. 34,453  
Telephone No. (215) 592-2015

Rohm and Haas Company  
100 Independence Mall West  
Philadelphia, PA 19106-2399  
December 21, 2001

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$ 1150)

## Complete if Known

Application Number	
Filing Date	Herewith
First Named Inventor	Joseph David Tanzer
Examiner Name	
Group Art Unit	
Attorney Docket No.	A01199A

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **18-1850**Deposit Account Name **Rohm and Haas Company**

Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

Applicant claims small entity status.  
See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee	Small Entity Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)		
101 740	201 370	Utility filing fee	740
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	
SUBTOTAL (1) (\$ 740)			

## 2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims	16	-20** = 0	X = 0
Independent Claims	2	-3* = 0	X = 0
Multiple Dependent			= 280

Large Entity Fee	Small Entity Fee	Fee Description	
Code (\$)	Code (\$)		
103 18 203 9		Claims in excess of 20	
102 84 202 42		Independent claims in excess of 3	
104 280 204 140		Multiple dependent claim, if not paid	
109 84 209 42		** Reissue independent claims over original patent	
110 18 210 9		** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2) (\$ 280)			

\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205	65 Surcharge - late filing fee or oath	130
127 50	227	25 Surcharge - late provisional filing fee or cover sheet	
139 130	139	130 Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215	55 Extension for reply within first month	
116 400	216	200 Extension for reply within second month	
117 920	217	460 Extension for reply within third month	
118 1,440	218	720 Extension for reply within fourth month	
128 1,960	228	980 Extension for reply within fifth month	
119 320	219	160 Notice of Appeal	
120 320	220	160 Filing a brief in support of an appeal	
121 280	221	140 Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240	55 Petition to revive - unavoidable	
141 1,280	241	640 Petition to revive - unintentional	
142 1,280	242	640 Utility issue fee (or reissue)	
143 460	243	230 Design issue fee	
144 620	244	310 Plant issue fee	
122 130	122	130 Petitions to the Commissioner	
123 50	123	50 Processing fee under 37 CFR 1.17(q)	
126 180	126	180 Submission of Information Disclosure Stmt	
581 40	581	40 Recording each patent assignment per property (times number of properties)	
146 740	246	370 Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249	370 For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279	370 Request for Continued Examination (RCE)	
169 900	169	900 Request for expedited examination of a design application	
Other fee (specify) _____			
• Reduced by Basic Filing Fee Paid			SUBTOTAL (3) (\$ 130)

## Complete (if applicable)

## SUBMITTED BY

Name (Print/Type)	James G. Vouros	Registration No (Attorney/Agent)	34,453	Telephone	215-592-2564
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Signature	<i>James G. Vouros</i>	Date	12/21/01
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